

RECOMMENDATION TRACKING REPORT Q2 2020/21

| Audit Title | Date Final Issued | Date Follow up Completed | Assurance Rating | Recommendations | | | | | | | | Total Recs Acc'd | Total Recs Imp'd | Total Rec Not Imp'd* | Comments |
|--|-------------------|--------------------------|-----------------------|-----------------|---|----|----|----|----|----|---|------------------|------------------|----------------------|---|
| | | | | HR | | MR | | LR | | GP | | | | | |
| | | | | A | I | A | I | A | I | A | I | | | | |
| Morrison Comprehensive School | 25/11/19 | 03/07/20 | Substantial Assurance | 0 | 0 | 2 | 2 | 13 | 11 | 4 | 3 | 19 | 16 | 3 | Remaining actions only relate to governor meeting approval, which cannot take place at the moment due to Covid-19 |
| Bishop Vaughan Catholic Comprehensive School | 19/12/19 | 03/07/20 | Substantial Assurance | 0 | 0 | 2 | 2 | 9 | 8 | 4 | 4 | 15 | 14 | 1 | Rec. remaining relates to inventory which cannot be actioned due to Covid-19. |
| Olchfa Comprehensive School | 13/11/19 | 14/07/20 | Substantial Assurance | 0 | 0 | 0 | 0 | 16 | 16 | 2 | 1 | 18 | 17 | 1 | Impletemdation date has not passed as yet for the remaining outstanding rec. |
| Car Parks - G4S Income Review | 28/02/20 | 03/06/20 | Substantial Assurance | 0 | 0 | 1 | 0 | 1 | 1 | 3 | 3 | 5 | 4 | 1 | Reconciliations cannot be undertaken at the moment due to Covid 19. |
| Food & Safety Division | 06/09/19 | 24/07/20 | Substantial Assurance | 0 | 0 | 11 | 11 | 1 | 0 | 0 | 0 | 12 | 11 | 1 | Rec. remaining relates to inventory which cannot be actioned due to Covid 19 |
| Home Improvement Team | 04/10/19 | 28/07/20 | Substantial Assurance | 0 | 0 | 1 | 1 | 2 | 2 | 2 | 2 | 5 | 5 | 0 | |
| Stores (Pipehouse Depot) | 17/03/20 | 08/09/20 | Substantial Assurance | 0 | 0 | 1 | 1 | 5 | 5 | 4 | 4 | 10 | 10 | 0 | |
| Cashiers Office (inc Controlled Stationery & BACS) | 27/03/20 | 08/09/20 | High Assurance | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 2 | 2 | 0 | |
| Planning - AONB | 02/06/20 | 07/09/20 | High Assurance | 0 | 0 | 0 | 0 | 2 | 2 | 1 | 1 | 3 | 3 | 0 | |
| Change Control | 03/03/20 | 07/09/20 | High Assurance | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | |
| Sheltered Housing Service | 14/05/20 | 11/09/20 | High Assurance | 0 | 0 | 0 | 0 | 6 | 6 | 0 | 0 | 6 | 6 | 0 | |
| Challenge Advisers | 05/08/20 | 15/09/20 | Substantial Assurance | 0 | 0 | 2 | 2 | 14 | 14 | 0 | 0 | 16 | 16 | 0 | |
| Community Parks Development & | 09/03/20 | 15/09/20 | High Assurance | 0 | 0 | 0 | 0 | 2 | 2 | 1 | 1 | 3 | 3 | 0 | |
| Estate Cartakes | 09/06/20 | 15/09/20 | High Assurance | 0 | 0 | 0 | 0 | 5 | 5 | 0 | 0 | 5 | 5 | 0 | |

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|-----------------------|----------|----------|-----------------------|---|---|---|---|---|---|---|---|-----|-----|---|-------|
| Sports Permits | 16/03/20 | 15/09/20 | Substantial Assurance | 0 | 0 | 2 | 2 | 1 | 1 | 3 | 3 | 6 | 6 | 0 | |
| Acceptance of Tenders | 02/06/20 | 23/09/20 | High Assurance | 0 | 0 | 0 | 0 | 4 | 4 | 0 | 0 | 4 | 4 | 0 | |
| Licensing Division | 06/08/20 | 22/09/20 | Substantial Assurance | 0 | 0 | 3 | 3 | 9 | 9 | 6 | 6 | 18 | 18 | 0 | |
| | | | | | | | | | | | | 148 | 141 | 7 | 95.3% |

*Further details on the recommendations that have not been implemented are reported in Appendix 2.

Key

HR - High Risk. **MR** - Medium Risk. **LR** - Low Risk. **GP** - Good Practice.

A - Accepted. **I** - Implemented